

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*In re* Application of : Thomas R. WERNER et al. :Docket No. V637-25543 US  
Serial No. : NEW :Art Unit No. TBA  
Filing Date : December 28, 2001 :Paper No.  
Invention Title : Integral Vertical Cavity Surface Emitting Laser and  
Power Monitor  
Examiner : TBA :

10/028439  
12/28/01  
PTO

**Commissioner for Patents  
Washington, D.C. 20231**

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97 (b))**

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements.

Applicant(s) submit herewith patents, publications or other information of which they are aware, that they believe may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 C.F.R. § 1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. § 1.97(h)), or that no other material information exists.

The filing of this Information Disclosure Statement is not to be construed as a representation that the references are prior art within the meaning of 35 U.S.C. §§ 102 or 103. Further, any explanation, if provided, is not to be construed as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relevance of any portion of any reference is intended.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

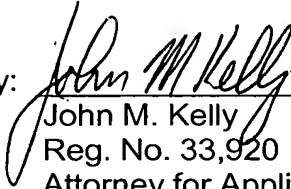
2. Enclosed is Form PTO - 1449, Information Disclosure Citation in an Application -- citing 1 U.S. Patent.

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3. The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's file.

Respectfully submitted,

By:

  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231:

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